

# DISCLOSURE DIVISION

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- ☒ **WAIVER REQUEST**  
☐ **ANSWER**  
☐ **RECONSIDERATION REQUEST**  
☐ **UNTIMELY**

**DATE:** 3/19/2021

**DOCKET #:** 2021-212

Ashley Wimberley, Director  
Disclosure Division

## FILER INFORMATION

Name: Mr. Charles J. Southall, III  
Address: 13236 Greenhill Ave., Baton Rouge, LA 70809  
Office/Position: Spirit of Excellence Academy Charter School  
Dates of Service: 2011-2019 (2018 Final Year)  
Number of Disclosures and/or Amendments Filed with Agency: 12

## REPORT INFORMATION

Name of Report: Tier 3 Annual Personal Financial Disclosure covering calendar year 2018 - Amendment  
Report ID: PFD19007678  
Original Due Date: 5/15/2019  
Initial PFD Filed on: 5/16/2019  
NOD-amend Received: 8/1/2020 - Signed by Mail Carrier: Covid-19  
Amendment/Answer Due Date based on NOD: 8/11/2020  
Amendment/Answer Filed: 8/18/2020

## LATE FEE INFORMATION

Amount of Late Fee: \$350  
Days late from receipt of NOD: 7  
Total days late from initial due date: 461  
Late Fee Order Received: 2/3/2021  
Payment/Waiver Request Due Date: 2/23/2021  
Waiver Request Received: 2/11/2021

## COMMENTS:

Charles Southall, III is requesting a Waiver for the late filing of his final Amendment. Mr. Southall stated the Spirit of Excellence Charter School surrendered its charter as requested by Orleans Parish School Board October 2018. The schools funding ended and they did not have staff to provide support to the board members, this resulted in him not knowing a report was due as the school was closed. Without staff notification or assistance completing the forms, he submitted the incorrect tier level disclosures. After being contacted by the BOE, he submitted the correct forms, but was tardy in doing so. Mr. Southall stated the board was a Non-Profit, and they were not compensated as volunteers. It was never his intent to be negligent with his filing requirements and "kindly" ask the Ethics Board to waive his late fee.

This is Mr. Southall's first late filing and first late fee assessment.

## OTHER LATE FEE INFORMATION

### Disclosure Statements:

- Other Outstanding Statements: No
- Other Outstanding Late Fees: No
- Prior Late Fees: No
- Reassessed Late Fees: No

### Campaign Finance:

- Outstanding Late Fees: No
- Prior Late Fees: No

**State of Louisiana                      2/9/2021**  
**Department of State Civil Service**  
**Louisiana Board of Ethics**

**Attn: Ashley Wimberley**

**Ref: Charles J Southall III**  
**Tier 3 Annual Personal Financial Disclosure**  
**2018 - Statement Amendment**  
**Spirit of Excellence Academy Charter**

**Late Fee Assessment - Report -PFD19007678**

**Please accept this letter as my request for waiver of assessed late fees ..**

**1)We surrendered the charter as requested by ORLEANS Parish School Board .**

**Our Funding ended and we had no staff to provide board support and that resulted in we not knowing a report was due as we no longer had a Charter .**

**In addition upon notification filing the report upon notification. Then refilling after being notified that the wrong report was filed . I understand that the refilling of the report was a few days Tardy , note it was done without staff ..**

**Our board was a non Profit , Non Compensated Board , we volunteered. Please note there was never an intent to be negligent , and kindly ask**

**for a waiver of Late fees ..**

**Thanks for your consideration..**

**Please see attached front page of News Article.**

  
**Charles J Southall III**

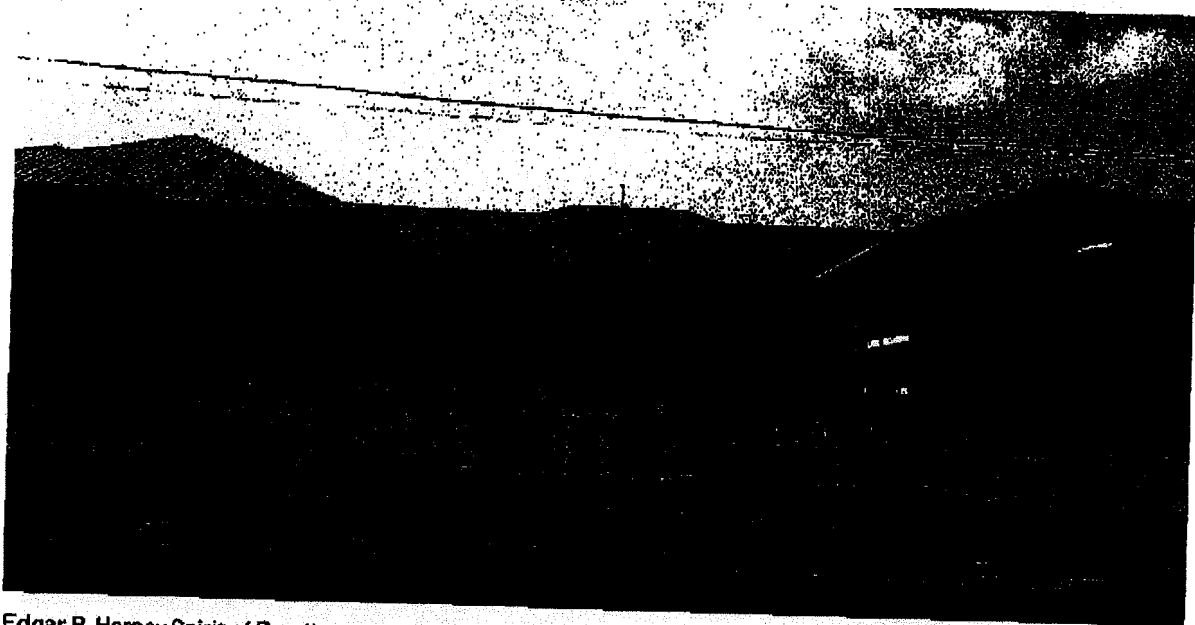


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**SCHOOLS**

## **Superintendent moves for mid-year charter revocation for Harney academy**

by **MARTA JEWSON**  
NOVEMBER 13, 2018



Edgar P. Harney Spirit of Excellence Academy.

Orleans Parish schools Superintendent Henderson Lewis Jr. will seek to revoke Edgar P. Harney Spirit of Excellence Academy's charter mid-year after financial mismanagement and leadership instability, he told Orleans Parish School Board committee members at a Tuesday meeting.

Last week, Lewis told families the district would not renew the school's charter and it

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Charles J. Southall, III  
13236 Greenhill Ave.  
Baton Rouge, LA 70809



2199



9590 9402 5992 0062 4469 71

2. Article Number (Transfer from service label)

7019 2970 0001 6313 2199

PS Form 3811, July 2015 PSN 7530-02-000-9053

**COMPLETE THIS SECTION ON DELIVERY**

A. Signature

X

C V 19

☐ Agent☐ Addressee

B. Received by (Printed Name)

C V 19

C. Date of Delivery

D. Is delivery address different from item 1?

☐ Yes

If YES, enter delivery address below:

☐ NoEZ:ZAD 02.0001  
COPY 0001 0001

3. Service Type

- ☐ Adult Signature
- ☐ Adult Signature Restricted Delivery
- ☒ Certified Mail®
- ☐ Certified Mail Restricted Delivery
- ☐ Collect on Delivery
- ☐ Collect on Delivery Restricted Delivery
- ☐ Registered Mail™
- ☐ Registered Mail Restricted Delivery
- ☐ Return Receipt for Merchandise
- ☐ Signature Confirmation™
- ☐ Signature Confirmation Restricted Delivery

- ☐ Priority Mail Express®
- ☐ Registered Mail™
- ☐ Registered Mail Restricted Delivery
- ☐ Return Receipt for Merchandise
- ☐ Signature Confirmation™
- ☐ Signature Confirmation Restricted Delivery

(over 5000)

7-31 TF

Domestic Return Receipt